

# Recursion, divide & conquer, text processing

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Adapted from Peter Roosen-Runge

## finite state automata

- ◆ a finite state automaton  $(\Sigma, S, s_0, \delta, F)$  is a representation of a machine as a
  - finite set of states  $S$
  - a state transition relation/table  $\delta$ 
    - mapping current state & input symbol from alphabet  $\Sigma$  to the next state
  - an initial state  $s_0$
  - a set of final states  $F$

## accepting an input

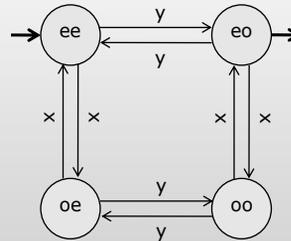
- ◆ a fsa *accepts* an input sequence from an alphabet  $\Sigma$  if, starting in the designated starting state, scanning the input sequence leaves the automaton in a final state
- ◆ sometimes called *recognition*
- ◆ e.g. automaton that accepts strings of x's and y's with an even number of x's and an odd number of y's

## example

- ◆ automaton that accepts strings of x's and y's with an even number of x's and an odd number of y's
- ◆ idea: keep track of whether we have seen even number of x's and y's
- ◆  $S = \{ee, eo, oe, oo\}$
- ◆  $s_0 = ee$
- ◆  $\delta = \{(ee, x, oe), (ee, y, eo), \dots\}$
- ◆  $F = \{eo\}$

## example

- ◆ `initial_state(ee)`.
- ◆ `final_states([eo])`.
- ◆ `next_state(ee,x,oe)`.
- ◆ `next_state(ee,y,eo)`.
- ◆ `next_state(oe,x,ee)`.
- ◆ `next_state(oe,y,oo)`.
- ◆ `next_state(oo,x,eo)`.
- ◆ `next_state(oo,y,oe)`.
- ◆ `next_state(eo,x,oo)`.
- ◆ `next_state(eo,y,ee)`.



## implementation

- ◆ `fsa(Input)` succeeds if and only if the fsa accepts or recognizes the sequence (list) `Input`.
- ◆ initial state represented by a predicate
  - `initial_state(State)`
- ◆ final states represented by a predicate
  - `final_states(List)`
- ◆ state transition table represented by a predicate
  - `next_state(State, InputSymbol, NextState)`
- ◆ note: `next_state` need not be a function

## implementing fsa/1

- ◆ `fsa(Input) :- initial_state(S), scan(Input, S).`  
    % `scan` is a Boolean predicate
- ◆ `scan([], State) :- final_states(F),`  
    `member(State, F).`
- ◆ `scan([Symbol | Seq], State) :-`  
    `next_state(State, Symbol,`  
    `Next), scan(Seq, Next).`

## result propagation

- ◆ `scan` uses pumping/result propagation
- ◆ carries around current state and remainder of input sequence
- ◆ if FSA is deterministic, when end of input is reached, can make an accept/reject decision immediately; tail recursion optimization can be applied
- ◆ if FSA is nondeterministic, may have to backtrack; must keep track of remaining alternatives on execution stack

## non-determinism

- ◆ a non-deterministic fsa accepts an input sequence if there exists *at least one sequence* which leaves the automaton in one of its final states
- ◆ ?- fsa(Input).
- ◆ scan searches through all possible choices for Symbol at each state;
- ◆ fails only if no sequence leads to a final state

## representing tables

- ◆ can use binary connector, e. g., A-B-C instead of next\_state(A,B,C)
  - reduces typing;
  - can make it easier to check for errors
- ◆ ee-x-oe. ee-y-eo.
- ◆ oe-x-ee. oe-y-oo.
- ◆ etc.

## revised version

---

```
scan([], State) :- final_states(F),  
    member(State, F).
```

```
scan([Symbol | Seq], State) :-  
    State-Symbol-Next,  
    scan(Seq, Next).
```

divide and conquer

## divide and conquer

- ◆ algorithm design technique
- ◆ key idea: reduce problem to two sub-problems of about equal size
- ◆ e.g. mergesort
- ◆ tournament example
  - minimize number of matches required to fairly determine
    - winner
    - runner-up

## tournament definitions

- ◆ *runner-up* is the winner of a sub-tournament among losers to *winner*
  - by definition, *winner* has not lost any tournament match
  - losers to *winner* are all themselves winners except for the loser of the winner's 1st game
  - so we don't need a sub-tournament among *all* other players, just those who lost to *winner*

## minimum matches

- ◆ minimum matches required to determine winner =  $n - 1$
- ◆ why?
  - every one except the winner is eliminated by a loss to someone
  - every loss requires a match
  - $n-1$  losers implies  $n-1$  matches
- ◆ minimum # of matches for the runner-up?

## winner's matches

- ◆ we only need matches between those who lost to *winner*
- ◆ how many?
- ◆ *winner* need play no more than  $\text{ceiling}(\log_2 n)$  matches  
proof based on idea that number of matches = length of path from root to leaf of a binary tree containing  $n$  nodes  
shortest path is in a balanced tree

## total # of matches

- ◆ total matches =
  - matches to determine winner =  $n - 1$
  - + matches to determine runner-up =
    - $n - 1 + \log_2 n - 1$
    - $n + \log_2 n - 2$

## defining a tournament

```
tournament(Field, Winner, RunnerUp) :-  
    round(Field, Winner-Runners),  
    round(Runners, RunnerUp-_).
```

## fixing the match

- ◆ can use binary connector  
Competitor-LoserList

```
match(C1-L1, C2-_, C1-[C2-[] | L1]) :-  
    order(C1, C2).  
match(C1-_, C2-L2, C2-[C1-[] | L2]) :-  
    not order(C1, C2).
```

## implementing a round

```
round([X],X).  
round([C1, C2], Winner) :-  
    match(C1, C2, Winner).  
round([C1, C2, C3 | R], Winner) :-  
    split([C1, C2, C3 | R], Group1, Group2),  
    round(Group1, Winner1),  
    round(Group2, Winner2),  
    match(Winner1, Winner2, Winner).
```

- ◆ are rules ordered as expected?  
yes -- from specific to general

## parsing text and definite clause grammars

## Prolog representation for parsing text

- ◆ want to parse natural language text
- ◆ one way to represent grammar rules:  
sentence --> noun\_phrase, verb\_phrase.  
stands for  
`sentence(X):- append(Y,Z,X),  
noun_phrase(Y), verb_phrase(Z).`
- determiner --> [the].  
stands for  
`determiner([the]).`
- ◆ must guess how to split the sequence,  
inefficient; let constituent parsers decide

## a better representation

- ◆ `sentence(S0,S):-  
    noun_phrase(S0,S1), verb_phrase(S1,S).`
- ◆ `determiner([the | S],S).`
- ◆ 1st argument is sequence to parse and 2nd argument is what is left after removing it
- ◆ Rule means “there is a sentence between S0 and S if ...”
- ◆ `?-sentence([the, boy, drinks, the, juice], []).`  
succeeds
- ◆ `?-noun_phrase([the, boy, drinks, the, juice], R).` succeeds with `R = [drinks, the, juice]`

## definite clause grammar (DCG) notation

```
sentence -->  
noun_phrase,verb_phrase.  
    stands for  
sentence(S0,S):- noun_phrase(S0,S1),  
                  verb_phrase(S1,S).  
determiner --> [the].  
    stands for  
determiner([the|S],S).
```

## enforcing constraints between constituents

- ◆ suppose we want to enforce number agreement
- ◆ can add extra argument to pass this info between constituents
- ◆ `noun_phrase(N) --> determiner(N), noun(N).`
- ◆ `noun(singular) --> [boy].`
- ◆ `noun(plural) --> [boys].`
- ◆ `determiner(singular) --> [a].`
- ◆ `?- noun_phrase(N,[a, boys],[ ]).` fails
- ◆ `?- noun_phrase(N,[a, boy],[ ]).` succeeds with `N = singular`

## returning a parse tree or interpretation

- ◆ Extra arguments can also be used to return a parse tree or interpretation
- ◆ `noun_phrase(np(D,N)) --> determiner(D), noun(N).`
- ◆ `determiner(determiner(a)) --> [a].`
- ◆ `noun(noun(boy)) --> [boy].`
- ◆ `?- noun_phrase(PT,[a, boy],[ ]).` succeeds with `PT = np(determiner(a),noun(boy))`

## adding extra tests

- ◆ can invoke predicates for tests or interpretation by putting between { }
- ◆ don't match input tokens
- ◆ e.g. accessing a lexicon
- ◆ `noun(N,noun(W)) --> [W],  
                                  {is_noun(W,N)}.`
- ◆ `is_noun(boy,singular).`

## grammar writing tips

- ◆ good grammars:
  - are very modular
  - achieve broad coverage with small number of rules
- ◆ collecting a corpus of examples can help design and test grammar
- ◆ identify patterns built out of certain types of constituents

## Prolog & text processing

- ◆ Prolog good for analyzing and generating text
- ◆ parsing involves *pattern-matching*
- ◆ text & parse-trees are *recursive* data structures
- ◆ text patterns involve *many alternatives*, backtracking is helpful
- ◆ *steadfast* predicates can analyze and generate

modeling and analyzing  
concurrent processes

## process algebra

- ◆ concurrent programs are hard to implement correctly
- ◆ many subtle non-local interactions
- ◆ **deadlock** occurs when some processes are blocked forever waiting for each other
- ◆ process algebra are used to model and analyze concurrent processes

## deadlocking system example

- ◆ `defproc(deadlockingSystem, user1 | user2 $ lock1s0 | lock2s0 | iterDoSomething).`
- ◆ `defproc(user1, acquireLock1 > acquireLock2 > doSomething > releaseLock2 > releaseLock1).`
- ◆ `defproc(user2, acquireLock2 > acquireLock1 > doSomething > releaseLock1 > releaseLock2).`

## deadlocking system example

```
defproc(lock1s0,  
  acquireLock1 > lock1s1 ? 0).  
defproc(lock1s1, releaseLock1 > lock1s0).
```

```
defproc(lock2s0,  
  acquireLock2 > lock2s1 ? 0).  
defproc(lock2s1, releaseLock2 > lock2s0).  
defproc(iterDoSomething,  
  doSomething > iterDoSomething ? 0).
```

## transition relation

- ◆ P - A - RP means that P can do a *single step* by doing action A and leaving program RP remaining
- ◆ *empty program*:  $0 - A - P$  is always false.
- ◆ *primitive action*:  $A - A - 0$  holds, i. e., an action that has completed leaves nothing more to be done.
- ◆ *sequence*:  $(A > P) - A - P$
- ◆ *nondeterministic choice*:  $(P_1 ? P_2) - A - P$  holds if either  $P_1 - A - P$  holds or  $P_2 - A - P$  holds.

## transition relation

- ◆ *interleaved concurrency*:  $(P_1 \mid P_2) - A - P$  holds if either  $P_1 - A - P_{11}$  holds and  $P = (P_{11} \mid P_2)$ , or  $P_2 - A - P_{21}$  holds and  $P = (P_1 \mid P_{21})$
- ◆ *synchronized concurrency*:  $(P_1 \$ P_2) - A - P$  holds if both  $P_1 - A - P_{11}$  holds and  $P_2 - A - P_{21}$  holds and  $P = (P_{11} \$ P_{21})$
- ◆ *recursive procedures*: ProcName - A - P holds if ProcName is the name of a procedure that has body B and B - A - P holds.

## can check properties by searching process graph

- ◆ a process has an *infinite execution* if there is a cycle in its configuration graph
- ◆ e.g. `defproc(aloop, a > aloop)`
- ◆ `has_infinite_run(P):- P - _ - PN, has_infinite_run(PN,[P]).`
- ◆ `has_infinite_run(P,V):- member(P,V), !.`
- ◆ `has_infinite_run(P,V):- P - _ - PN, has_infinite_run(PN,[P|V]).`

## checking properties by searching process graph

- ◆ `cannot_occur(P,A)` holds if no execution of `P` where action `A` occurs
- ◆ search graph for a transition `P1 - A - P2`
- ◆ useful built-in predicate: `forall(+Cond, +Action)` holds iff for all bindings of `Cond`, `Action` succeeds
- ◆ e.g. `forall(member(C,[8,3,9]), C >= 3)` succeeds

## cannot\_occur examples

- ◆ `?- cannot_occur(a > b | a > c, b).` succeeds or fails?
- ◆ `?- cannot_occur((a > b | a > c)$(a > c), b).` succeeds or fails?

## whenever\_eventually

- ◆ `whenever_eventually(P,A1,A2)` holds if in all executions of `P` whenever action `A1` occurs, action `A2` occurs afterwards
- ◆ `?- whenever_eventually(a > b > a , a, b)`. succeeds or fails?
- ◆ `?- whenever_eventually(a > b | a > c, a, b)`. succeeds or fails?

## whenever\_eventually examples

- ◆ `?- whenever_eventually(loop1 , a, b)`. succeeds or fails, where  
`defproc(loop1, a > b > loop1)?`
- ◆ `?- whenever_eventually(loop1 , b, a)`. succeeds or fails, where  
`defproc(loop1, a > b > loop1)?`
- ◆ `?- whenever_eventually(loop2 , b, a)`. succeeds or fails, where  
`defproc(loop2, a > b > (loop2 ? 0))`.

## deadlock\_free

- ◆ `deadlock_free(P)` holds if process `P` cannot reach a deadlocked configuration, i.e. one where the remaining process is not final, but no transition is possible
- ◆ `?- deadlock_free(a $ a)`. succeeds or fails?
- ◆ `?- deadlock_free(a > a $ a)`. succeeds or fails?

## deadlock\_free examples

- ◆ `?- deadlock_free(loop3 $ a)`. where `defproc(loop3, (a > loop3) ? 0)` succeeds or fails?