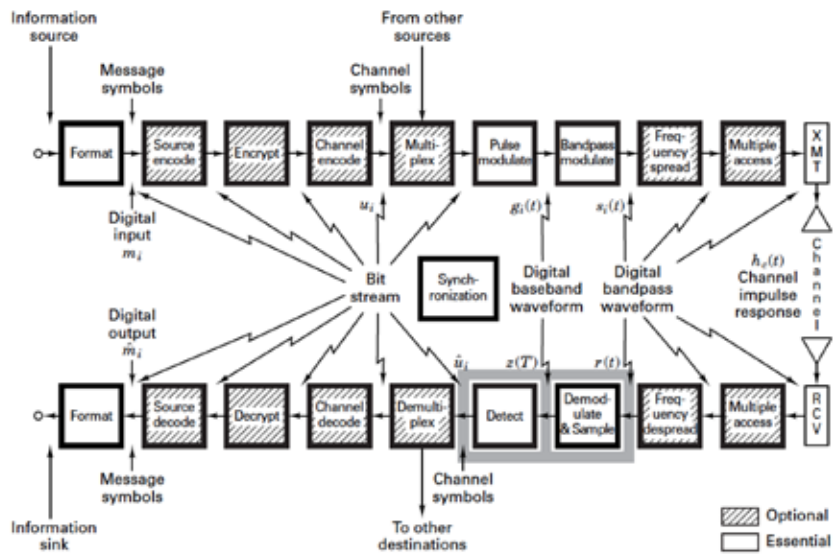


# Chapter 3

## Baseband Demodulation/ Detection

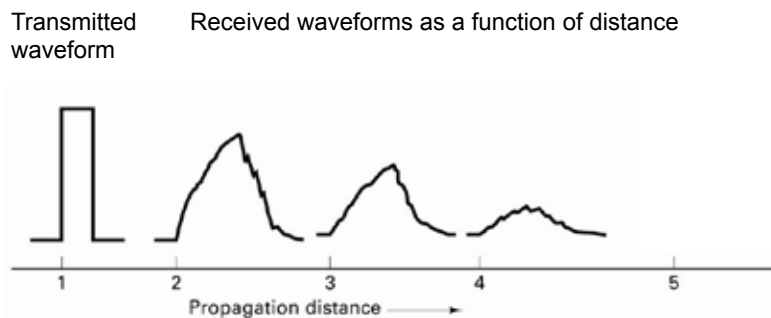
### Baseband Demodulation/Detection



### Demodulation and Detection

#### Why Baseband Demodulation/Detection ?

- Received pulses are distorted because of the following factors:
  1. **Intersymbol Interference** causes smearing of the transmitted pulses.
  2. **Addition of channel noise** degrades the transmitted pulses.
  3. **Transmission channel** causes further smearing of the transmitted pulses.
- **Demodulation (Detection)** is the process of determining the transmitted bits from the distorted waveform.



## Models for Transmitted and Received Signals

- For binary transmission, the transmitted signal over a symbol interval  $(0, T)$  is modeled by

$$s_i(t) = \begin{cases} s_1(t) & 0 \leq t \leq T \text{ for bit 1} \\ s_2(t) & 0 \leq t \leq T \text{ for bit 0} \end{cases}$$

- The received signal is degraded by: (i) noise  $n(t)$  and (ii) impulse response of the channel

$$r(t) = \underbrace{s_i(t)}_{\text{transmitted signal}} \otimes \underbrace{h_c(t)}_{\text{channel impulse response}} + \underbrace{n(t)}_{\text{AWGN}}$$

for  $i = 1, \dots, M$ .

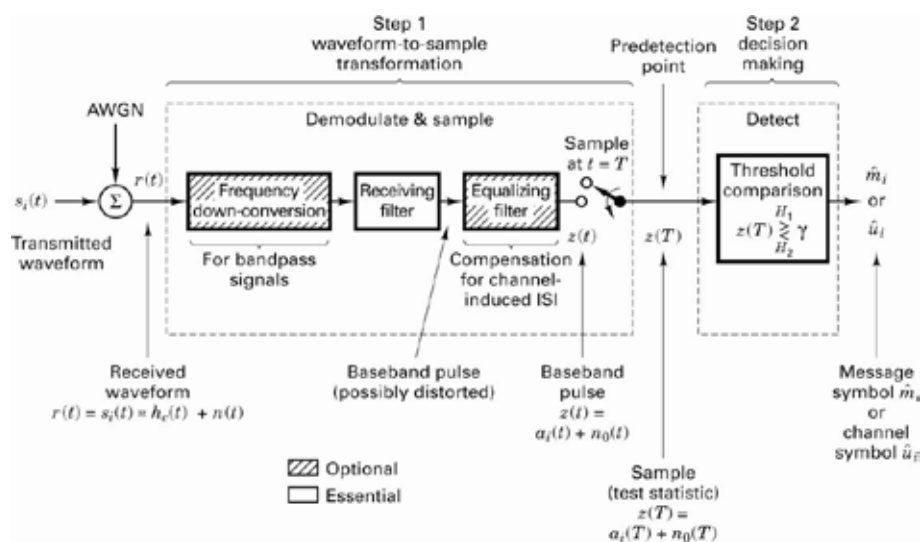
- Given  $r(t)$ , the goal of demodulation is to detect if bit 1 or bit 0 was transmitted.
- In our derivations, we will first use a simplified model for received signal

$$r(t) = \underbrace{s_i(t)}_{\text{transmitted signal}} + \underbrace{n(t)}_{\text{AWGN}}$$

- Later, we will see that degradation due to the impulse response of the channel is eliminated by **equalization**.

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## Basic Steps in Demodulation



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## A Vector View of CT Waveforms (1)

- Orthonormal Waveforms:** Two waveforms  $\psi_1(t)$  and  $\psi_2(t)$  are orthonormal if they satisfy the following two conditions

$$\text{Orthogonality Condition: } \int_0^T \psi_1(t)\psi_2(t) dt = 0 \quad (0 \leq t \leq T)$$

$$\text{Unit Magnitude Condition: } \int_0^T \psi_1(t)\psi_1(t) dt = K_1 = 1 \quad (0 \leq t \leq T)$$

$$\int_0^T \psi_2(t)\psi_2(t) dt = K_2 = 1 \quad (0 \leq t \leq T)$$

Normalized to have unit energy

- Two arbitrary signals  $s_1(t)$  and  $s_2(t)$  can be represented by linear combinations of two orthonormal basis functions  $\phi_1(t)$  and  $\phi_2(t)$ , i.e.

$$s_1(t) = s_{11}\phi_1(t) + s_{12}\phi_2(t)$$

$$s_2(t) = s_{21}\phi_1(t) + s_{22}\phi_2(t)$$

$$\text{where } s_{ij} = \int_0^T s_i(t)\phi_j(t) dt, \quad i, j \in \{1, 2\}$$

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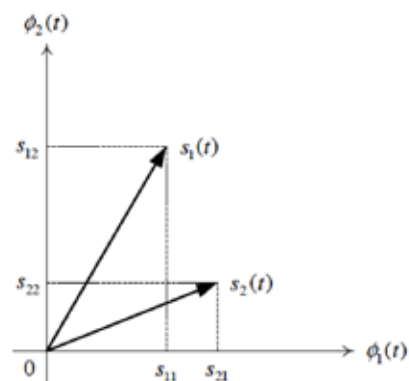
## Geometric Representation of Signals

$$s_1(t) = s_{11}\phi_1(t) + s_{12}\phi_2(t)$$

$$s_2(t) = s_{21}\phi_1(t) + s_{22}\phi_2(t)$$

$$\text{where } s_{ij} = \int_0^T s_i(t)\phi_j(t) dt, \quad i, j \in \{1, 2\}$$

$\int_0^T s_i(t)\phi_j(t) dt$  is the projection of  $s_i$  on to



## A Vector View of CT Waveforms (2)

- $N$ -dimensional basis functions:** consists of a set  $\{\psi_i(t)\}$ , ( $1 \leq i \leq N$ ), of orthonormal (or orthogonal) waveforms.

$$\text{Orthogonality Condition: } \int_0^T \psi_j(t)\psi_k(t) dt = K_j \delta_{jk} \quad (0 \leq t \leq T, j, k = 1, \dots, N)$$

$$\text{where the operator: } \delta_{jk} = \begin{cases} 1 & \text{for } j = k \\ 0 & \text{otherwise} \end{cases}$$

- Given a basis function, any waveform in the represented as a linear combination of the basis functions.

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## A Vector View of CT Waveforms (3)

- Given a basis function, any waveform in the represented as a linear combination of the basis functions

$$\begin{aligned} s_1(t) &= a_{11}\psi_1(t) + a_{12}\psi_2(t) + \dots + a_{1N}\psi_N(t) \\ s_2(t) &= a_{21}\psi_1(t) + a_{22}\psi_2(t) + \dots + a_{2N}\psi_N(t) \\ &\vdots \\ s_M(t) &= a_{M1}\psi_1(t) + a_{M2}\psi_2(t) + \dots + a_{MN}\psi_N(t) \end{aligned}$$

or, in a more compact form,

$$s_i(t) = \sum_{j=1}^N a_{ij}\psi_j(t) \quad i = 1, \dots, M \quad N \leq M$$

- The coefficient  $a_{ij}$  are calculated as follows

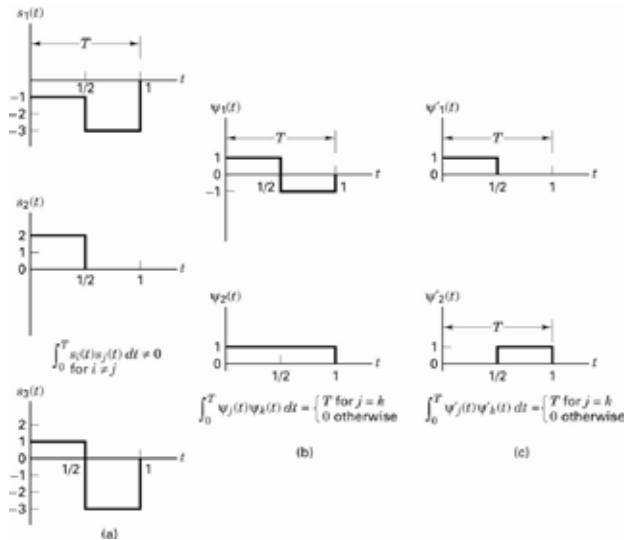
$$a_{ij} = \frac{1}{K_j} \int_0^T s_i(t)\psi_j(t) dt \quad i = 1, \dots, M \quad j = 1, \dots, N \quad (0 \leq t \leq T)$$

where  $K_j$  is the energy present in the basis signal.

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## Activity 1

- Demonstrate that signals  $s_i(t)$ ,  $i = 1, 2, 3$ , are not orthogonal.
- Demonstrate that  $\psi_i(t)$ ,  $i = 1, 2$ , are orthogonal.
- Express  $s_i(t)$ ,  $i = 1, 2, 3$ , as a linear combination of the basis functions  $\psi_i(t)$ ,  $i = 1, 2$ .
- Demonstrate that  $\psi'_i(t)$ ,  $i = 1, 2$ , are orthogonal.
- Express  $s_i(t)$ ,  $i = 1, 2, 3$ , as a linear combination of the basis functions  $\psi'_i(t)$ ,  $i = 1, 2$ .



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1

## Activity 2

- Show that the energy in a signal  $s_i(t)$  is given by

$$E_i = \int_0^T s_i^2(t) dt = \sum_{j=1}^N a_{ij}^2 K_j.$$

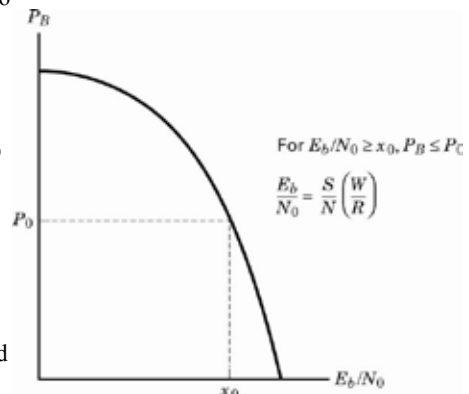
## SNR used in Digital Communications

1. In digital communications, SNR is defined as the ratio of the energy ( $E_b$ ) present in the signal representing a bit to the power spectral density ( $N_0$ ) of noise.
2. In terms of signal power  $S$  and the duration  $T$  of bit, the bit energy is given by  $E_b = S \times T$ .
3. In terms of noise power  $N$  and bandwidth  $W$ , the PSD of noise is given by  $N_0 = N / W$ .
4. SNR is therefore given by

$$SNR = \frac{E_b}{N_0} = \frac{S \times T}{N / W} = \frac{S / R_b}{N / W} = \frac{S W}{N R}$$

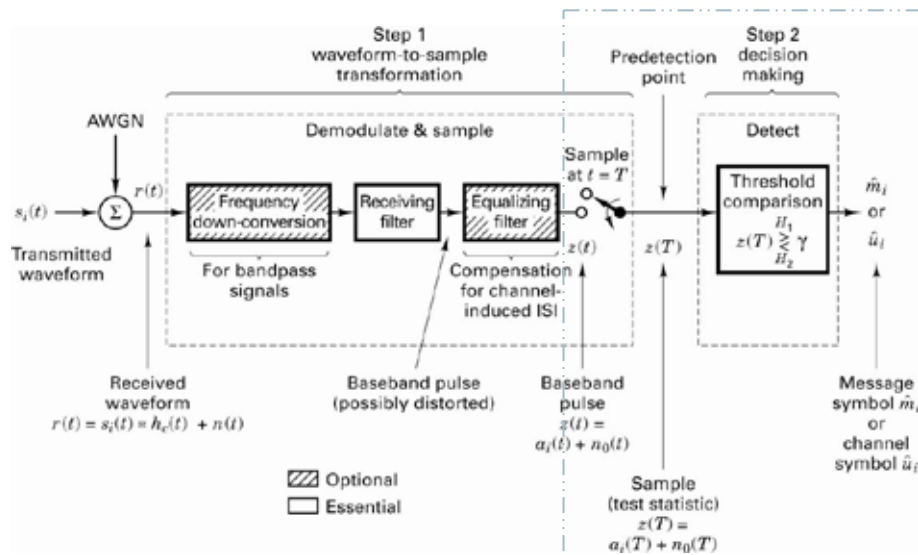
where  $R_b$  is the rate of transmission in bits transmitted per second (bps).

5. Bit-error probability is the probability of error in a transmitted bit.
6. ROC curves are plots of Bit-error probability versus SNR.



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## Detection of binary signal in AWGN



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## Maximum Likelihood Detector (1)

- The sampled received signal is given by

$$z(T) = r(t)|_{t=T} = \underbrace{a_i(T)}_{\text{Level of signal}} + \underbrace{n_0(T)}_{\text{Gaussian RV}}$$

where  $a_i(T)$  represents the level of signal for bit 1,  $a_i(T) = a_1$  and for bit 0,  $a_i(T) = a_2$ .

- The pdf of  $n_0$  is Gaussian with zero mean

$$p(n_0) = \frac{1}{\sigma_0 \sqrt{2\pi}} \exp \left[ -\frac{1}{2} \left( \frac{n_0}{\sigma_0} \right)^2 \right]$$

- The conditional pdf of  $z$  given  $s_i$  is given by

$$p(z|s_1) = \frac{1}{\sigma_0 \sqrt{2\pi}} \exp \left[ -\frac{1}{2} \left( \frac{z - a_1}{\sigma_0} \right)^2 \right]$$

$$p(z|s_2) = \frac{1}{\sigma_0 \sqrt{2\pi}} \exp \left[ -\frac{1}{2} \left( \frac{z - a_2}{\sigma_0} \right)^2 \right]$$

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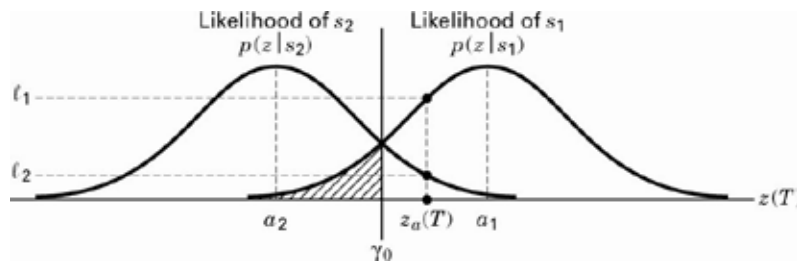
## Maximum Likelihood Detector (2)

- The conditional pdf of  $z$  given that bit 1 or bit 0 was transmitted are referred to as maximum likelihoods.

$$p(z|s_1) = \frac{1}{\sigma_0 \sqrt{2\pi}} \exp \left[ -\frac{1}{2} \left( \frac{z - a_1}{\sigma_0} \right)^2 \right] \quad \text{maximum likelihood of } s_1$$

$$p(z|s_2) = \frac{1}{\sigma_0 \sqrt{2\pi}} \exp \left[ -\frac{1}{2} \left( \frac{z - a_2}{\sigma_0} \right)^2 \right] \quad \text{maximum likelihood of } s_2$$

- The maximum likelihoods have the following distributions



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## Maximum Likelihood Detector (1)

6. Maximum Likelihood Ratio Test: is given by

$$\frac{p(z|s_1)}{p(z|s_2)} \underset{H_2}{\overset{H_1}{>}} \frac{P(s_2)}{P(s_1)}$$

where  $P(s_1)$  and  $P(s_2)$  are the priori probabilities that  $s_1(t)$  and  $s_2(t)$ , respectively, are transmitted.

$H_1$  and  $H_2$  are two possible hypotheses.  $H_1$  states that signal  $s_1(t)$  was transmitted and hypothesis  $H_2$  states that signal  $s_2(t)$  was transmitted.

6. For  $P(s_1) = P(s_2)$ , the maximum likelihood ratio test reduces to

$$z(T) \underset{H_2}{\overset{H_1}{>}} \frac{a_1 + a_2}{2} = \gamma_0$$

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## Activity 3

- Show that the probability of bit error for maximum likelihood ratio test is given by

$$P_B = Q\left(\frac{a_1 - a_2}{2\sigma_0}\right)$$

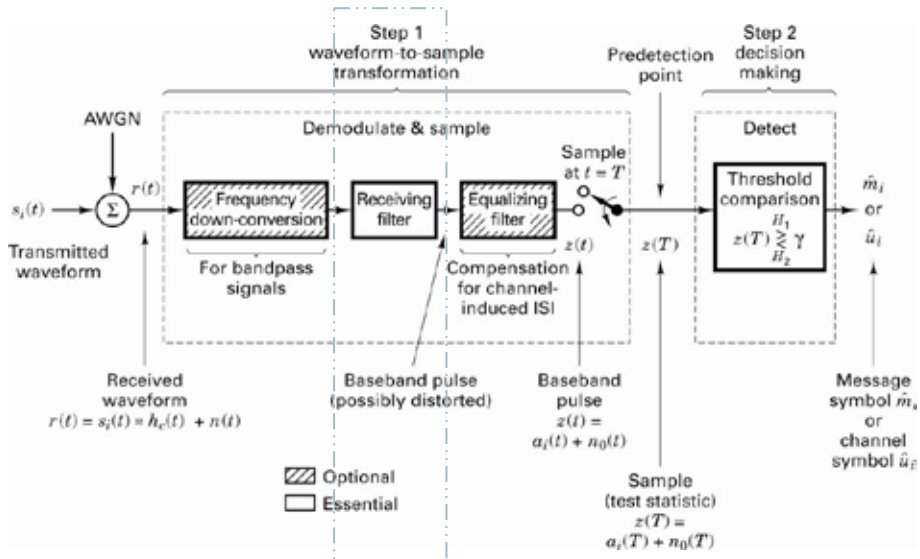
$$\text{where } Q(x) = \frac{1}{\sqrt{2\pi}} \int_x^{\infty} \exp\left(-\frac{u^2}{2}\right) dx$$

$$\text{or } Q(x) \approx \frac{1}{x\sqrt{2\pi}} \exp\left(-\frac{x^2}{2}\right) \text{ for } x > 3$$

- Values of  $Q(x)$  are listed in Table B.1 in Appendix B page 1046.

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## Matched Filtering (1)



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## Matched Filtering (2)

$$r(t) = s_i(t) + n(t) \xrightarrow{h(t) \xleftarrow{\text{CFT}} H(f)} Z(T) = a_i(T) + n_0(T)$$

### Design the Receiving filter $h(t)$

1. Design a filter that maximizes the SNR at time ( $t = T$ ) of the sampled signal

$$z(T) = r(t)|_{t=T} = \underbrace{a_i(T)}_{\text{Level of signal}} + \underbrace{n_0(T)}_{\text{Gaussian RV}}$$

2. The instantaneous signal power to noise power is given by

$$\left(\frac{S}{N}\right)_T = \frac{a_i^2}{\sigma_0^2}$$

where  $a_i(t)$  is the filtered signal and  $\sigma_0^2$  is the variance of the output noise

3. The information bearing component is given by

$$a_i(T) = \int_{-\infty}^{\infty} H(f) S_i(f) e^{j2\pi ft} dt$$

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## Matched Filtering (3)

4. Given that input noise  $n(t)$  is AWGN with  $S_n(f) = N_0/2$ , the PSD of the output noise is given by

$$S_{n_0}(f) = |H(f)|^2 S_n(f) = \frac{N_0}{2} S_n(f)$$

5. The output noise power is given by

$$\sigma_0^2 = \frac{N_0}{2} \int_{-\infty}^{\infty} |H(f)|^2 df$$

6. The SNR is given by

$$\left(\frac{S}{N}\right)_T = \frac{a_i^2}{\sigma_0^2} = \frac{\left| \int_{-\infty}^{\infty} H(f) S(f) e^{j2\pi ft} df \right|^2}{\frac{N_0}{2} \int_{-\infty}^{\infty} |H(f)|^2 df}$$

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## Matched Filtering (4)

Schwartz Inequality:

$$\left| \int_{-\infty}^{\infty} f_1(x) f_2(x) dx \right|^2 \leq \int_{-\infty}^{\infty} |f_1(x)|^2 dx \int_{-\infty}^{\infty} |f_2(x)|^2 dx$$

with the equality valid if  $f_1(x) = k f_2^*(x)$ .

7. Applying the Schwartz inequality to the SNR gives

$$\left(\frac{S}{N}\right)_T \leq \frac{\int_{-\infty}^{\infty} |H(f)|^2 df \int_{-\infty}^{\infty} |S(f) e^{j2\pi ft}|^2 df}{\frac{N_0}{2} \int_{-\infty}^{\infty} |H(f)|^2 df} \quad \text{or,} \quad \left(\frac{S}{N}\right)_T \leq \frac{2}{N_0} \int_{-\infty}^{\infty} |S(f)|^2 df$$

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## Matched Filtering (5)

8. The maximum SNR is given by

$$\max\left(\frac{S}{N}\right)_T = \frac{2}{N_0} \int_{-\infty}^{\infty} |S(f)|^2 df = \frac{2E}{N_0}$$

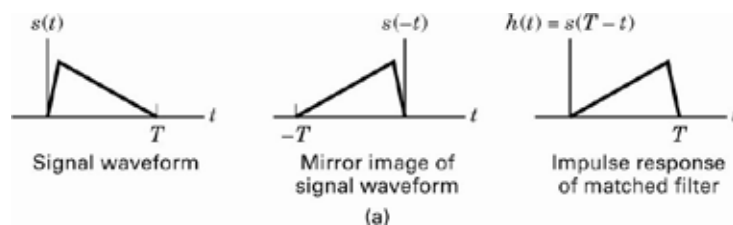
which is possible if

$$H(f) = S^*(f)e^{-j2\pi ft} \quad \text{or} \quad h(t) = s(T-t).$$

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## Activity 4

- Given that the transmitted signal is shown in the following figure, determine the impulse response of the matched filter.



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## Matched Filtering (6)

### Correlator Implementation of matched filter:

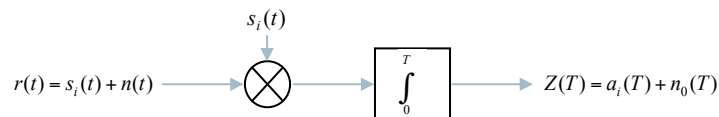
The output of the matched filter is given by

$$z(t) = \int_0^t r(\tau)h(t-\tau)d\tau$$

The output at  $t = T$  is given by

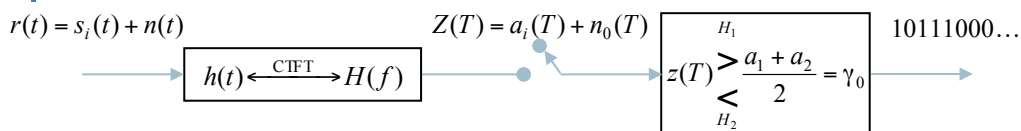
$$z(T) = \int_0^T r(\tau)h(T-\tau)d\tau = \int_0^T r(\tau)s_i(\tau)d\tau$$

which leads to the following correlator implementation for matched filter



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## Detection of binary signal: Review



Matched Filter:

Impulse response:  $h(t) = s_i(T-t)$

Maximum SNR =  $(a_1)^2/\sigma_0^2 = 2E_s/N_0$

ML Detector:

Probability of Error:

$$P_B = Q\left(\frac{a_1 - a_2}{2\sigma_0}\right)$$

- The overall goal of the receiver should be to minimize the probability of bit error  $P_B$ .
- In other words, we are interested in maximizing  $(a_1 - a_2)^2/\sigma_0^2$ .
- The filter is designed such that it is matched to the difference of  $[s_1(t) - s_2(t)]$ .
- Maximum SNR of the matched filter =  $(a_1 - a_2)^2/\sigma_0^2 = 2(E_{s1} - E_{s2})/N_0 = 2E_d/N_0$ .
- Probability of Error:

$$P_B = Q\left(\frac{a_1 - a_2}{2\sigma_0}\right) = Q\left(\sqrt{\frac{E_d}{2N_0}}\right)$$

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## Activity 5

By defining the cross-correlation coefficient as

$$\rho = \frac{1}{E_b} \int_{-\infty}^{\infty} s_1(t)s_2(t)dt \quad \text{with} \quad E_b = \int_{-\infty}^{\infty} s_1^2(t)dt = \int_{-\infty}^{\infty} s_2^2(t)dt$$

show that the probability of bit error using a filter matched to  $[s_1(t) - s_2(t)]$  is given by

$$P_B = Q\left(\sqrt{\frac{E_b(1-\rho)}{N_0}}\right)$$

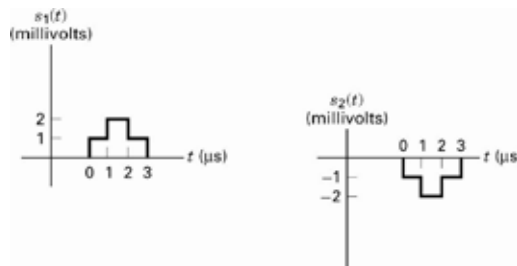
Using the above relationship, show that the probability of bit error is given by

1.  $P_B = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$  for antipodal signals :  $s_1(t) = -s_2(t)$
2.  $P_B = Q\left(\sqrt{\frac{E_b}{N_0}}\right)$  for orthogonal signals  $s_1(t) \perp s_2(t)$ .

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## Activity 6

Determine the probability of bit error for a binary communication system, which receives equally likely signals  $s_1(t)$  and  $s_2(t)$  shown in the following diagram



Assume that the receiving filter is a matched filter and the power spectral density of AWGN is  $N_0 = 10^{-12}$  Watt/Hz.

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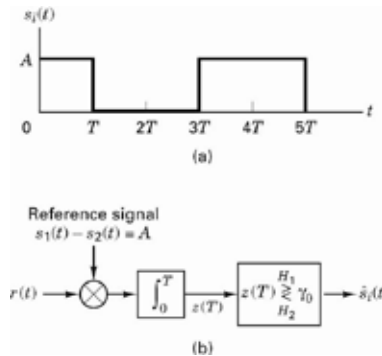
## Error Probability Performance of Binary Signal

### 1. Unipolar signaling

In Unipolar signaling, the signal selection to represent bits 1 and 0 is as follows:

$$\begin{aligned} s_1(t) &= A, & (0 \leq t \leq T), & \text{ for bit 1} \\ s_2(t) &= 0, & (0 \leq t \leq T), & \text{ for bit 0.} \end{aligned}$$

The receiver is shown by the following diagram.



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## Unipolar Signaling

- Unipolar signal forms an orthogonal signal set.
- When  $s_1(t)$  plus AWGN being received, the expected value of  $z(T)$ , given that  $s_1(t)$  was sent, is

$$a_1(T) = E\{z(T)|s_1(t)\} = E\left\{\int_0^T (A^2 + An(t))dt\right\} = A^2T, \text{ where } E\{n(t)\} = 0$$

- When  $s_2(t)$  plus AWGN being received,  $a_2(T)=0$ .
- The optimum decision threshold is:

$$\gamma_0 = \frac{a_1 + a_2}{2} = \frac{1}{2}A^2T$$

- The bit-error performance is:

$$P_B = Q\left(\sqrt{\frac{E_d}{2N_0}}\right) = Q\left(\sqrt{\frac{A^2T}{2N_0}}\right) = Q\left(\sqrt{\frac{E_b}{N_0}}\right), \text{ where } E_b = \frac{A^2T}{2}$$

$$E_d = \int_0^T [s_1(t) - s_2(t)]^2 dt = A^2T$$

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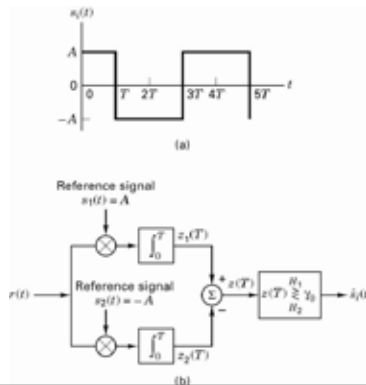
# Bipolar Signaling

In bipolar signaling, the signal selection to represent bits 1 and 0 is as follows:

$$s_1(t) = A, \quad (0 \leq t \leq T), \quad \text{for bit 1}$$

$$s_2(t) = -A, \quad (0 \leq t \leq T), \quad \text{for bit 0.}$$

The receiver is shown by the following diagram.



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# Bipolar Signaling

- Bipolar signal is a set of antipodal signal, e.g.  $s_1(t) = -s_2(t)$ .
- The optimum decision threshold is:

$$\gamma_0 = \frac{a_1 + a_2}{2} = 0$$

- The bit-error performance is:

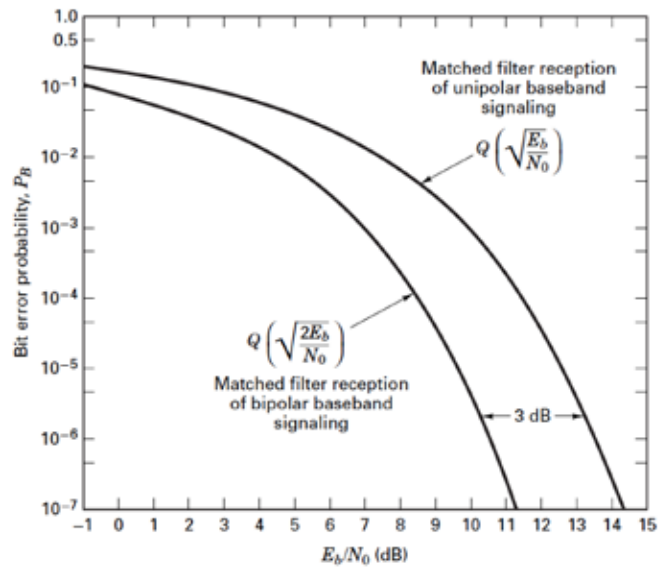
$$P_B = Q\left(\sqrt{\frac{E_d}{2N_0}}\right) = Q\left(\sqrt{\frac{2A^2T}{N_0}}\right) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right), \quad \text{where } E_b = A^2T$$

$$E_d = \int_0^T [s_1(t) - s_2(t)]^2 dt = (2A)^2T$$

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# Unipolar vs. Bipolar



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