



CSE6339 3.0 Introduction to Computational Linguistics
Tuesdays, Thursdays 14:30-16:00 – South Ross 156 (new)
Winter Semester, 2014



Parsing and
Context Free
Grammars

Parsers, Top
Down, Bottom
Up, Left Corner,
Earley



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Review

Language is a system of conventions – or rules

The rules aren't the ones we were taught in school

The rules determine which strings of words are well-formed and which are not



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Acceptability versus Grammaticality

In order to investigate the nature of grammar, we take on the project of developing a precise account of the grammatical knowledge we have

This account takes the form of a grammar, or set of rules that
Generates the set of sentences which are well-formed and does
Not generate ill-formed sentences (hence, **generative grammar**).



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This account takes the form of a grammar, or set of rules that Generates the set of sentences which are well-formed and does Not generate ill-formed sentences (hence, **generative grammar**).

Since we are trying to model the linguistic knowledge of speakers, the set of sentences generated by our model should be the same as those generated by the actual speaker's grammar.



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Acceptability versus Grammaticality (continued)

However, we do not have direct access to that information. We can ask speakers which sentences are **acceptable**, but **acceptability** bears only an indirect relationship to **grammaticality** because of a number of factors summed up by Chomsky's distinction between **competence** and **performance**.



Competence versus Performance

Here are some examples of a grammar's output to demonstrate
What we find acceptable is not simple:

That Sandy left bothered me

That that Sandy left bothered me bothered Kim.

That that that Sandy left bothered me bothered Kim bothered Bo.

In an important sense these are all grammatical, i.e., constructed
In accordance with the rules of a grammar, yet the last two sentences
seem unacceptable.



Competence versus Performance (continued)

We explain their unacceptability via extragrammatical factors, i.e., processing limitations. So we regard these all as “grammatical”, and explain their reduced acceptability in terms of factors that interact with grammar in language processing.

Here is another example:

The horse raced past the barn fell.

In this case there is a frequency bias of raced as past tense finite verb. This sentence is also grammatical, but unacceptable for extragrammatical reasons.



Competence versus Performance (continued)

This is Chomsky's famous distinction between competence and performance. We develop competence grammars, and appeal to interacting factors sometimes to provide a performance-based explanation of reduced acceptability.

Analogously, the relation of physical laws (the grammar of physics) and friction (the interacting performance factors) illustrate how our competence grammars idealize in much the same way as other scientific theories.

On to parsing...



Some Preliminaries

- What is Parsing? - Two kinds of parse trees:
 - Phrase structure
 - Dependency structure
- Accuracy: handle ambiguity well
 - Precision, recall, F-measure
 - Percent of sentences correctly parsed
- Robustness: handle “ungrammatical” sentences or sentences out of domain
- Resources needed: treebanks, grammars
- Efficiency: the speed
- Richness: trace, functional tags, etc.



Types of Parsers

Use grammars?

- Grammar-based parsers: CFG, HPSG, ...
- Parsers that do not use grammars explicitly:
Ratnaparki's parser (1997)

Require treebanks?

- Supervised parsers
- Unsupervised parsers



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Where grammars come from:

- Built by hand
- Extracted from treebanks
- Induced from text



Review of Grammars and languages

| Grammar | Language | Automata | Recognition | Dependency |
|---------------------------|----------------------------------|-------------------------|-------------|--------------|
| Regular grammar | Regular language | Finite-state automata | linear | strict local |
| Context-free grammar | Context-free language | Pushdown automata | polynomial | nested |
| Context-sensitive grammar | Context-sensitive language | Linear bounded automata | NP-complete | crossing |
| Unrestricted grammar | Recursively enumerable languages | Turing machines | undecidable | arbitrary |



BNF Example

a (context free) BNF grammar for a simple arithmetic expression.

$\langle \text{arithmetic expression} \rangle \rightarrow \langle \text{term} \rangle \mid \langle \text{arithmetic expression} \rangle + \langle \text{term} \rangle \mid$
 $\langle \text{arithmetic expression} \rangle - \langle \text{term} \rangle$

$\langle \text{term} \rangle \rightarrow \langle \text{factor} \rangle \mid \langle \text{term} \rangle \times \langle \text{factor} \rangle \mid \langle \text{term} \rangle / \langle \text{factor} \rangle$

$\langle \text{factor} \rangle \rightarrow \langle \text{primary} \rangle \mid \langle \text{factor} \rangle \uparrow \langle \text{primary} \rangle$

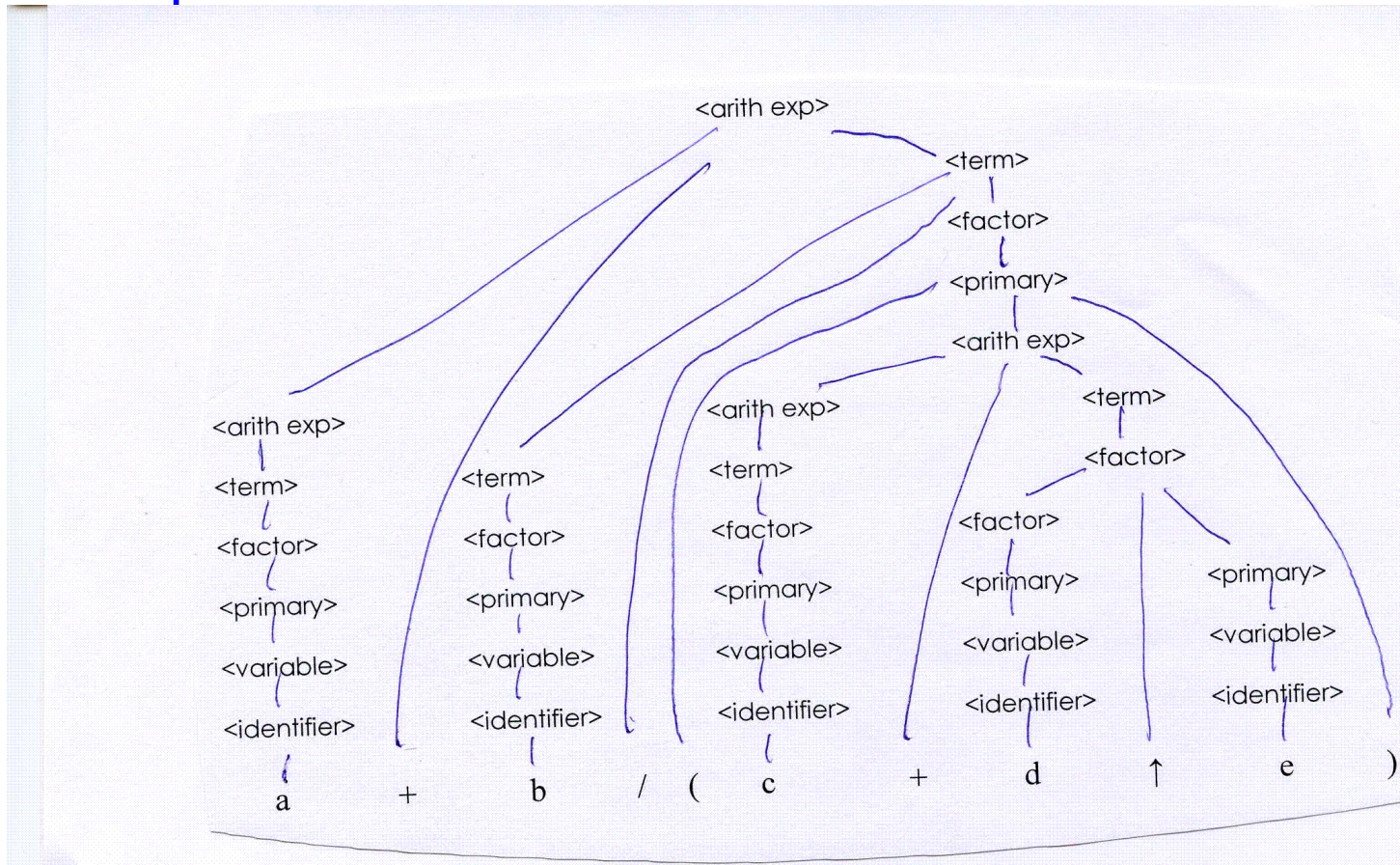
$\langle \text{primary} \rangle \rightarrow \langle \text{variable} \rangle \mid \langle \text{number} \rangle \mid (\langle \text{arithmetic expression} \rangle)$

$\langle \text{variable} \rangle \rightarrow \langle \text{identifier} \rangle \mid \langle \text{identifier} \rangle [\langle \text{subscript list} \rangle]$

$\langle \text{subscript list} \rangle \rightarrow \langle \text{arithmetic expression} \rangle \mid \langle \text{subscript list} \rangle , \langle \text{arithmetic expression} \rangle$



BNF Example





BNF Example

An equivalent (context free) BNF grammar for a simple arithmetic expression.

$\langle \text{arithmetic expression} \rangle \rightarrow \langle \text{term} \rangle \mid \langle \text{arithmetic expression} \rangle \uparrow \langle \text{term} \rangle \mid$
 $\langle \text{arithmetic expression} \rangle \times \langle \text{term} \rangle \mid$
 $\langle \text{arithmetic expression} \rangle + \langle \text{term} \rangle$

$\langle \text{term} \rangle \rightarrow \langle \text{primary} \rangle \mid \langle \text{term} \rangle - \langle \text{primary} \rangle \mid$
 $\langle \text{term} \rangle / \langle \text{primary} \rangle$

$\langle \text{primary} \rangle \rightarrow \langle \text{variable} \rangle \mid \langle \text{number} \rangle \mid$
 $(\langle \text{arithmetic expression} \rangle)$

$\langle \text{variable} \rangle \rightarrow \langle \text{identifier} \rangle \mid \langle \text{identifier} \rangle [\langle \text{subscript list} \rangle]$

$\langle \text{subscript list} \rangle \rightarrow \langle \text{arithmetic expression} \rangle \mid$
 $\langle \text{arithmetic expression} \rangle , \langle \text{subscript list} \rangle$



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Parsing Algorithms

- Top-down
- Bottom-up
- Top-down with bottom-up filtering
- Earley algorithm
- CYK algorithm
-



Parsing Algorithms - Top Down

- Start from the start symbol, and apply rules
- Top-down, depth-first, left-to-right parsing
- Never explore trees that do not result in S
=> goal-directed search
- Waste time on trees that do not match input sentence.



Parsing Algorithms - Bottom Up

- Use the input to guide
=> data-driven search
- Find rules whose right-hand sides match the current nodes.
- Waste time on trees that don't result in S



Parsing Algorithms - Top-down parsing with bottom-up look-ahead filtering Use the input to guide

- Both top-down and bottom-up generate too many useless trees.
- Combine the two to reduce over-generation
- B is a left-corner of A if →see handout
- Left-corner table provides more efficient look-ahead
 - Pre-compute all POS that can serve as the leftmost POS in the derivations of each non-terminal category



Dynamic Programming (DP)

- DP:
 - Dividable: The optimal solution of a sub-problem is part of the optimal solution of the whole problem.
 - Memorization: Solve small problems only once and remember the answers.
- Example: $T(n) = T(n-1) + T(n-2)$



Parsing with DP

- Three well-known CFG parsing algorithms:
 - Earley algorithm (1970)
 - Cocke-Younger-Kasami (CYK) (1960)
 - Graham-Harrison-Ruzzo (GHR) (1980)



Earley Algorithm

- Use DP to do top-down search
- A single left-to-right pass that fills out an array (called a chart) that has $N+1$ entries.
- An entry is a list of states: it represents all partial trees generated so far.



Earley Algorithm - A state

A state contains:

- A single *dotted* grammar rule:
- $[i, j]$:
 - i : where the state begins w.r.t. the input
 - j : the position of dot w.r.t. the input

In order to retrieve parse trees, we need to keep a list of pointers, which point to older states.



Earley Algorithm - Dotted rules

$_0$ Book $_1$ that $_2$ flight $_3$

$S \rightarrow \bullet VP, [0,0]$

- S begins position 0
- The dot is at position 0, too.
- So, nothing has been covered so far.
- We need cover VP next.

$NP \rightarrow Det \bullet Nom, [1,2]$

- the NP begins at position 1
- the dot is currently at position 2
- so, Det has been successfully covered.
- We need to cover Nom next.



Earley Algorithm - Parsing procedure

From left to right, for each entry $\text{chart}[i]$:

apply one of three operators to each state:

- predictor: *predict* the expansion
- scanner: *match* input word with the POS after the dot.
- completer: *advance* previous created states.



Earley Algorithm - Predictor

- Why this operation: create new states to represent top-down expectations
- When to apply: the symbol after the dot is a non-POS.
 - Ex: $S \rightarrow NP \bullet VP [i, j]$
- What to do: Adds new states to **current** chart: One new state for each expansion of the non-terminal
 - Ex: $VP \rightarrow \bullet V [j, j]$
 $VP \rightarrow \bullet V NP [j, j]$



Earley Algorithm - Scanner

- Why: match the input word with the POS in a rule
- When: the symbol after the dot is a POS
 - Ex: $VP \rightarrow \cdot V NP [i, j]$, $word[j] = \text{“book”}$
- What: if matches, adds state to next entry
 - Ex: $V \rightarrow book \cdot [j, j+1]$



Earley Algorithm - Completer

- Why: parser has discovered a constituent, so we must find and advance states that were waiting for this
- When: dot has reached right end of rule
 - Ex: $NP \rightarrow Det\ Nom \bullet [i, j]$
- What: Find every state w/ dot at i and expecting an NP, e.g., $VP \rightarrow V \bullet NP [h, i]$
 - Adds new states to *current* entry
 - $VP \rightarrow V\ NP \bullet [h, j]$



Earley Algorithm - Retrieving Parse Trees

- Augment the Completer to add pointers to older states from which it advances
- To retrieve parse trees, do a recursive retrieval from a complete S in the final chart entry.



Earley Algorithm - Example

- Book that flight
- Rules:
 - (1) $S \rightarrow NP VP$
 - (2) $S \rightarrow VP$
 - (3) $VP \rightarrow V NP$
 - (4) $VP \rightarrow VP PP$
 - (5) $NP \rightarrow NP PP$
 - (6) $NP \rightarrow N$
 - (7) $NP \rightarrow Det N$
 - (8) $PP \rightarrow P NP$
 - (9) $N \rightarrow \text{book/cards/flight}$
 - (10) $Det \rightarrow \text{that}$
 - (11) $P \rightarrow \text{with}$
 - (12) $V \rightarrow \text{book}$



Earley Algorithm - Example

- Chart [0], word[0]=book

| | | | | | |
|-----|-------|----------|-------|------|------|
| S0: | Start | → .S | [0,0] | init | pred |
| S1: | S | → .NP VP | [0,0] | S0 | pred |
| S2: | S | → .VP | [0,0] | S0 | pred |
| S3: | NP | → .NP PP | [0,0] | S1 | pred |
| S4: | NP | → .Det N | [0,0] | S1 | pred |
| S5: | NP | → .N | [0,0] | S1 | pred |
| S6: | VP | → .V NP | [0,0] | S2 | pred |
| S7: | VP | → .VP PP | [0,0] | S2 | pred |



Earley Algorithm - Example

- Chart[1], word[1]=that

| | | |
|------------------|--------|----------------|
| S8: N → book . | [0,1] | S5 scan |
| S9: V → book . | [0,1] | S6 scan |
| S10: NP → N. | [0, 1] | S8 comp [S8] |
| S11: VP → V. NP | [0,1] | S9 comp [S9] |
| S12: S → NP. VP | [0,1] | S10 comp [S10] |
| S13: NP → NP. PP | [0,1] | S10 comp [S10] |
| S14: NP → .NP PP | [1,1] | S11 pred |
| S15: NP → .Det N | [1,1] | S11 pred |
| S16: NP → .N | [1,1] | S11 pred |
| S17: VP → .V NP | [1,1] | S12 pred |
| S18: VP → .VP PP | [1,1] | S12 pred |
| S19: PP → .P NP | [1,1] | S13 pred |



Earley Algorithm - Example

- Chart[2] word[2]=flight

S20: Det → that . [1,2] S15 scan

S21: NP → Det. N [1,2] S20 comp [S20]

- Chart[3]

S22: N → flight . [2,3] S21 scan

S23: NP → Det N. [1,3] S22 comp [S20,S22]

S24: VP → V NP. [0,3] S23 comp [S9,S23]

S25: NP → NP. PP [1,3] S23 comp [S23]

S26: S → VP. [0,3] S24 comp [S24]

S27: VP → VP. PP [0,3] S24 comp [S24]

S28: PP → .P NP [3,3] S25 pred

S29: start → S. [0,3] S26 comp [S26]



Earley Algorithm - Retrieving parse trees

Start from chart[3], look for
start \rightarrow S. [0,3]

S26

S24

S9, S23

S20, S22



Earley Algorithm - Summary of Earley algorithm

- Top-down search with DP
- A single left-to-right pass that fills out a chart
- Complexity:
 - A: number of entries: $O(N)$
 - B: number of states within an entry: $O(|G| \times N)$
 - C: time to process a state: $O(|G| \times N) \rightarrow O(|G|^2 \times N^3)$



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Other Concluding Remarks

MISSING LINK

Man's a kind
of Missing Link,
fondly thinking
he can think..