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#### Example

Carla Schlatter Ellis. Concurrent Search and Insertion in AVL Trees. *IEEE Transactions on Computers*, 29(9):811–817, September 1980.

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```
@article{Ellis80,
  author = "Carla Schlatter Ellis",
  title = "Concurrent Search and Insertion in
        {AVL} Trees",
  journal = "IEEE Transactions on Computers",
  volume = "29",
  number = "9",
  pages = "811--817",
  month = sep,
  year = "1980"}
```

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How do you cite a paper in a conference proceedings?



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How do you cite a paper in a conference proceedings?

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- The title of the paper.
- In, the names of the editors of the proceedings (for example, all separated by commas apart from the last two which are separated by "and"), the title of the proceedings, volume of title of the series, pages, location where the conference was held, month, year.
- Publisher.

### Example

Nathan G. Bronson, Jared Casper, Hassan Chafi, and Kunle Olukotun. A practical concurrent binary search tree. In R. Govindarajan, David A. Padua, and Mary W. Hall, editors, *Proceedings of the 15th ACM SIGPLAN Symposium on Principles and Practice of Parallel Programming*, pages 257–268, Bangalore, India, January 2010. ACM.

How do you record a paper in a conference proceedings in BiBTeX?

<pre>@inproceedings{BronsonCasperChafiOlukotun10,</pre>		
author	=	"Nathan G. Bronson and Jared Casper
		and Hassan Chafi and Kunle Olukotun"
title	=	"A practical concurrent binary search
booktitle	=	"Proceedings of the 15th ACM SIGPLAN
		on Principles and Practice of Parall
year	=	"2010",
editor	=	"R. Govindarajan and David A. Padua a
pages	=	"257268",
address	=	"Bangalore, India",
month	=	jan,
publisher	=	"ACM" }

# Concurrent Object Oriented Languages Non-blocking synchronization

#### wiki.eecs.yorku.ca/course/6490A



#### Task

Implement the abstract data type Stack such that multiple threads can perform the operations push and pop concurrently.



## Lock the Whole Stack

Using a semaphore.



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```
Using a semaphore.
semaphore mutex = 1
node top = null
push(e):
P(mutex)
new = node with element e
new.next = top
top = new
V(mutex)
```

## Lock the Whole Stack

Using a semaphore.



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Using a semaphore.

```
pop:
P(mutex)
if (top == null)
V(mutex)
return EMPTY
else
temp = top
top = top.next
V(mutex)
return element of temp
```



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## Lock the Whole Stack

Using a monitor.



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```
Using a monitor.
```

```
Stack : monitor
begin
node top
```

```
procedure push(number : int)
begin
    new = node with element number
    new.next = top
    top = new
end
```

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## Lock the Whole Stack

Using a monitor.



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Using a monitor.

```
procedure pop(result number : int)
begin
    if (top == null)
        number = EMPTY
    else
        number = element of top
        top = top.next
end
top = null
```

end

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Reducing the number and length of sequentially executed code sections is crucial to performance. In the context of locking, this means

- reducing the number of locks acquired, and
- reducing lock granularity, a measure of the number of instructions executed while holding a lock.

## Lock the First Node

Only lock the first node of the list.



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## Lock the First Node

```
Only lock the first node of the list.
node top = dummy
push(e):
new = node with element e
lock(top)
new.next = top
lock (new)
temp = top
top = new
unlock (temp)
```

## Lock the First Node

Only lock the first node of the list.



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Only lock the first node of the list.

```
pop():
lock(top)
if (top == dummy)
  unlock(top)
  return EMPTY
else
  number = element of top
  temp = top
  top = top.next
  unlock(temp)
end
```

This solution suffers from memory contention: an overhead in traffic in the underlying hardware as a result of multiple threads concurrently attempting to access the same locations in memory. If the lock protecting the node is implemented in a single memory location, as many simple locks are, then in order to acquire the lock, a thread must repeatedly attempt to modify that location.

In any solution that uses locks, if a thread that holds a lock is delayed, then all other threads attempting to get the lock are also delayed. Therefore, this (and the previous) solution is called blocking.

Instead of locks, use synchronization instructions, such as compare-and-swap (CAS) and load-linked/store-conditional (LL/SC). All modern processors provide such instructions.

The operation CAS(variable, expected, new) atomically

- loads the value of variable,
- compares that value to expected,
- assigns new to variable if the comparison succeeds, and
- returns the old value of variable.

The graduate course CSE 6117 entitled Distributed Computing studies non-blocking algorithms and their properties in detail.

The ABA problem occurs during synchronization, when a location is read twice, has the same value for both reads, and "value is the same" is used to indicate "nothing has changed". However, another thread can execute between the two reads and change the value, do other work, then change the value back, thus fooling the first thread into thinking "nothing has changed" even though the second thread did work that violates that assumption.

source: wikipedia

A general solution to the ABA problem is to use a double-length CAS (e.g. on a 32 bit system, a 64 bit CAS). The second half is used to hold a counter. The compare part of the operation compares the previously read value of the variable and the counter, to the current value and counter. If they match, the swap occurs - the new value is written - but the new value has an incremented counter. This means that if ABA has occurred, although the value of the variable will be the same, the counter is exceedingly unlikely to be the same (for a 32 bit value, a multiple of 2<sup>32</sup> operations would have had to occurred, causing the counter to wrap and at that moment, the value of the variable would have to also by chance be the same).

# CAS

CSE 6490A

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## CAS

```
push(e):
new = node with element e;
do
  temp = top;
  new.next = temp;
while (CAS(top, temp, new) != temp);
pop():
do
  temp = top;
  if (temp is undefined)
    return EMPTY
while (CAS(top, temp, temp.next) != temp);
return element of temp;
```

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